

Sl. No.	Check list of all required clearances/documents during pre establishment	Checklist of all required clearances/documents during pre-operation of a business/industry	Remarks
1	2	3	4
1	Consent to Establish & Operate Certificate under Air Act & Water Act is issued together	For pre operation separate Certificate is not required. But extension of validity of Consent Certificate is required.	Documents required for Consent to Establish & Operate Certificate is given in Annexure: A Documents required for Extension of validity of Consent Certificate is given in Annexure: B
2	Authorisation (Fresh) under Bio-Medical Waste Management Rules, 2016 for all Health Care Establishment	For pre operation Authorisation is not required separately. But Renewal of Authorisation Certificate is required for bedded Health Care Establishment(HCE) under Bio-Medical Waste Management Rules, 2016. For non bedded HCE, Authorisation is issued for one time	Documents required for Authorisation Certificate is given in Annexure: C
3	HWM Authorisation (Fresh) Certificate under Hazardous and Other Wastes (Management and Transboundary Movement) Rules, 2016	HWM Authorisation (Renewal) Certificate under Hazardous and Other Wastes (Management and Transboundary Movement) Rules, 2016	Documents required for Authorisation Certificate is given in Annexure: D
4	Municipal Solid Waste Management Authorisation (MSW) under Solid Waste Management Rules, 2016 for processing/recycling/treatment and disposal of solid waste	Renewal of MSW Authorisation is required	Documents required for Authorisation Certificate is given in Annexure: E
5	Environmental Clearance Certificate (EC) under Environment (Protection) Rules, 1986	Renewal of EC is required	Documents required for EC Certificate is given in Annexure: F For A-Category Industry EC needs to be obtained from Ministry of Environment & Forest. For B-Category industry, that needs to be obtained from State Environment Impact Assessment Authority.

For Consent to Establish & Operate Certificate in connection with Red/Orange Category Industries, following documents are required:

1. Detailed Project Report including details of Raw Materials and Fuel use, details of Process Description, Specification and details of Plants and Machineries, Cost Analysis Data.
2. Undertaking/Affidavit/Annual Report/Certificate from a Chartered Accountant.
3. Site Plan.
4. Land and Building Valuation Certificate/Documents.
5. Agreement Copy of rent between the unit & the land owner if the land/building is rented.
6. NO objection Certificates from surrounding neighbors of the unit.
7. Land Diversion Certificate from Concerned authority (if required).
8. Additional sheet regarding Gross capital investment of the unit on land, building, plant & machinery excluding capital investment on pollution control system [project report to be submitted].
9. Additional sheet regarding the list of raw materials (including fuel) with consumption per month.
10. Additional sheet regarding List of products and by-products manufactured.
11. Additional sheet regarding Process details with flowchart.
12. Additional sheet regarding Information regarding liquid waste.
13. Additional sheet regarding available information on process waste water characteristics (before treatment).
14. Additional sheet regarding Industrial (attach detailed proposal with diagram) & Domestic (attach detailed proposal with diagram).
15. Additional sheet regarding Emission sources.
16. Additional sheet regarding Emission details.
17. Additional sheet regarding Hazardous Chemicals to be handled [Refer-Manufacture, Storage and import of Hazardous Chemicals Rules, 1989 (MSIHC)] & (b) Maximum quantity of Hazardous Chemicals to be stored at a time [Refer-Manufacture, Storage and import of Hazardous Chemicals Rules, 1989 (MSIHC)].
18. Additional sheet regarding Environmental Management Plan including Monitoring, brief organisational set up as per declaration given above & Expenditure to be incurred for implementation of Environmental Management Plan (Capital Investment).
19. Additional sheet regarding brief write-up and schematic flowsheet of the manufacturing process clearly showing sources of generation of solid (hazardous and, non-hazardous) liquid and gaseous wastes.
20. Additional sheet regarding layout plan clearly showing the different outlets for liquid waste discharge.
21. Additional sheet regarding Other relevant information, if any.
22. Banker's Cheque/Demand Draft should be made from any Nationalised Bank.

For Consent to Establish & Operate Certificate in connection with Green Category Industries, following documents are required:

1. Detailed Project Report including details of Raw Materials and Fuel use, details of Process Description, Specification and details of Plants and Machineries, Cost Analysis Data.
2. Undertaking/Affidavit/Annual Report/ Certificate from a Chartered Accountant
3. Site Plan.
4. Additional sheet against Raw material and Quantity (If required)
5. Additional sheet against Product and Quantity (If required)]
6. Additional sheet against Wastewater treatment facility (If required)
7. Additional sheet against Air emission sources (If required)
8. Additional sheet against Air pollution control devices (If required)
9. Land and Building Valuation Certificate/Documents
10. Agreement Copy of rent/lease between the owner of the unit & the land owner if the land/ building is rented/leased.
11. No objection Certificates from surrounding neighbours of the unit
12. Banker's Cheque/Demand Draft should be made from any Nationalised Bank.

For Extension of validity of Consent Certificate in connection with Red/Orange Category Industries, following documents are required:

1. Undertaking/Affidavit/Annual Report/Certificate from a Chartered Accountant.
2. Banker's Cheque/Demand Draft should be made from any Nationalised Bank.
3. Photocopy of Last Year's Consent Certificate.

For Extension of validity of Consent Certificate in connection with Green Category Industries, following documents are required:

1. Undertaking/Affidavit/Annual Report/Certificate from a Chartered Accountant.
2. Banker's Cheque/Demand Draft should be made from any Nationalised Bank.
3. Photocopy of Last Year's Consent Certificate.

Annexure: C

For Authorization (Fresh) in connection with HCE's, following documents are required:

1. Detailed Project including waste management details..
2. Undertaking/Affidavit/Annual Report/Certificate from a Chartered Accountant.
3. Site Plan.
4. Land and Building Valuation Certificate/Documents.
5. Agreement Copy of rent between the unit & the land owner if the land/building is rented.
6. NO objection Certificates from surrounding neighbours of the unit.

For Authorization (Renewal) in connection with HCE's, following documents are required:

1. Photocopy of previous Authorisation Certificate along with Form.

For HWM Authorisation (Fresh), following documents are required:

1. Provide copy of the Emergency Response Plan (ERP) which should address procedures for dealing with emergency situations (viz. Spillage or release or fire) as specified in the guidelines of Central Pollution Control Board. (for generation or collection or storage or transport or reception or recycling or reuse or recovery or pre-processing or co-processing or utilisation or treatment or disposal of hazardous and other waste).
2. Provide undertaking or declaration to comply with all provisions including the scope of submitting bank guarantee in the event of spillage, leakage or fire while handling the hazardous and other waste. (for generation or collection or storage or transport or reception or recycling or reuse or recovery or pre-processing or co-processing or utilisation or treatment or disposal of hazardous and other waste).
3. Details of the environmental safeguards and environmental facilities provided for safe handling of all the wastes. (For hazardous waste generator).
4. Prior Environmental Clearance. (Treatment, storage and disposal facility operators). For recyclers or pre-processors or co-processors or users of hazardous or other wastes.
5. Nature and quantity of different wastes received per annum from domestic sources or imported or both.
6. Installed capacity as per registration issued by the District Industries Centre or any other authorised Government agency. Provide copy.
7. Provide details of secured storage of wastes including the storage capacity.
8. Process description including process flow sheet indicating equipment details, inputs and outputs (input wastes, chemicals, products, by-products, waste generated, emissions, waste water, etc.). Attach separate sheets.
9. Provide details of end users of products or by-products.
10. Provide details of pollution control systems such as Effluent Treatment Plant, scrubbers, etc. including mode of disposal of waste.
11. Provide details of occupational health and safety measures.
12. Has the facility been set up as per Central Pollution Control Board guidelines? If yes, provide a report on the compliance with the guidelines.
13. Arrangements for transportation of waste to the facility.

For HWM Authorisation (Renewal), following documents are required:

1. In case of renewal of authorisation previous authorisation numbers and dates and provide copies of annual returns of last three years including the compliance reports with respect to the conditions of Prior Environmental Clearance.

For MSW Authorisation, following documents are required:

1. Site clearance (local body).
2. Proof of Environmental Clearance.
3. Consent for establishment.
4. Agreement between municipal authority and operating agency.
5. Investment on the project and expected return.

For Environmental Clearance, following documents are required:

1. 10 hard copies and equivalent number of soft copies of Draft EIA Report.
2. Summary Environment Impact Assessment Report in English and in the local language.